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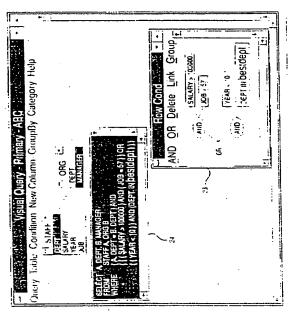
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(1) Applicant: International Business Machines Corporation Old Orchard Road Armonk, N.Y. 10504 (US) 72 Inventor: Banning, Kenneth Ray 1701 B West Brake Lane Austin, Texas 78758 (US) Inventor: James, Wendy Sue 13113 Amarillo Austin, Texas 78729 (US) Inventor: Shih-Gong, Li 9402 Mystic Oaks Trail Austin, Texas 78750 (US) Inventor: Versteeg, Anton 309 Ridgecrest Road Georgetown, Texas 78628 (US)

(74) Representative: Tomlinson, Kerry John Frank B. Dehn & Co. European Patent Attorneys Imperial House 15-19 Kingsway London WC2B 6UZ (GB)

(54) Tree structure representation of an SQL clause.

A system and method for graphically representing a WHERE or HAVING clause of an SQL query directed to a relational database. Logical operators are defined and linked to predicates using a tree structure format (23). The tree is not binary in character and consequently exhibits a 1:1 relation between the predicates and tree leaves. The tree structure representation provides intuitive feedback to the user defining the query. Preferably the SQL format (24) and tree structure graphic format (23) queries appear simultaneously on the video display of the computer system used to define the query.



by thing the country of the Park Tid. The Row Cond window now shows a complete tree structure for WHERE clause.

2 - The WHFRE chase in SQL select statement is snown in the SQL window.

בוט צב

The present invention relates in general to an interface between a human user and a computerized relational database. More particularly, the invention is directed to a system and method for creating relational database queries using graphical tree structure depictions, to express WHERE and HAVING clauses.

The entry and retrieval of data via interactive terminals is now a common practice. Large volumes of data stored on magnetic disks, optical disks, or other contemporary non-volatile storage media, are accessed locally or through networks using terminals or functionally equivalent workstations or personal computers. Such prevalent uses of databases has created an environment in which the level of data processing skill possessed by an average user is disproportionally low in relation to the complexity of the hardware and software functions available to interact with the database. This is particularly true for relational databases, where the information content is significant yet the query mechanisms for defining the desired information involve esoteric data definitions and groupings. Though the technology of accessing information has progressed beyond the use of structured query language (SQL) statements to the use of query by example (QBE) and prompted query techniques. there remains a need for further simplification and ease of use.

Relational database query expressions with WHERE and HAVING clauses are well known to those who have a working knowledge of SQL. However, the expressions can become quite lengthy and lose their intuitive meaning as the number of predicates and relationships in the query increases. Thus, there has evolved a need for a system and method which allows a moderately skilled user to create and manipulate WHERE and HAVING clauses in relational database queries.

U.S. Patent No. 4,506,326 teaches the use of query by example (QBE) to formulate structured query language (SQL) syntax. Unfortunately, the QBE approach is limited in application and does not provide in graphical or other pictorial form an intuitive representation of what the expression represents in relation to the information in the database.

The use of tree-like graphics to represent Boolean logic is not new. Tree representations are used in hypercard type applications and artificial intelligence depictions. The use of Boolean factor trees to represent SQL statements is discussed in an article entitled "Method of Detecting Atomic Boolean Factors" as appeared on pages 368-374 of the IBM Technical Disclosure Bulletin, Vol. 32, No. 5B, dated October 1989. Therein it is noted that WHERE and HAVING clauses of SQL statements can be represented by Boolean factor trees. However, it is also noted that Boolean factor trees are binary. Boolean factor trees have a structure which loses intuitive worth when the number of predicates associated with a logical operation exceeds two.

A representative relational database is a part of the OS/2 (trademark of IBM Corporation) Extended Edition operating system program, available from IBM Corporation, as particularly suited for use in a PS/2 (trademark of IBM Corporation) workstation. A preferred configuration for the workstation includes a graphics display, a keyboard, a mouse and cabinet resident hard disk drive. A typical workstation would also include communication and networking cards suitable to access remote databases or databases resident on host computers. In the context of such configurations, there exists a need for a system and method by which a user of moderate database expertise can define, manipulate and utilize a relational database query including those constructed with relatively complex WHERE and HAVING clauses.

The invention presented herein defines systems and processes for using graphical representations of WHERE and HAVING clauses to define and intuitively represent queries for relational databases. The invention contemplates the use of tree structures having logical operators, such as OR and AND, to link predicates. The use of graphics and fundamental logical operators provides the user with a pictorial representation of the expression being created or modified. The tree structure has a form which is amenable to automatic conversion into SQL using commonly known parsing techniques.

The intuitive quality of the graphical representation according to the present invention is attributable to the use of an SQL related tree structure which has resources to handle two or more predicates for each logical node. This tree representation provides a 1:1 mapping between predicates and the leaf nodes of the tree. In contrast, conventional Boolean factor trees constrain the number of permissible leaves to two per logical node. creating structures which commonly fail to have a 1:1 mapping. The mapping as provided by the tree structure of the present invention retains the intuitive link between the graphical representation and the query objective sought by a user of moderate skill when interfacing to a relational database.

These and other aspects of the invention will be understood and appreciated with greater specificity upon considering the detailed description of a preferred embodiment which follows by way of example only and with reference to the accompanying drawings.

Brief Description of the Drawings

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Fig. 1 is a schematic block diagram of a workstation using a graphics media to define a SQL query.

Fig. 2 illustrates a prior art Boolean factor tree.

Fig. 3 illustrates a tree according to the present invention.

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Figs. 4A-4C schematically illustrate windows used for defining a query.

Figs. 5A-5I schematically illustrate windows for refining and expanding the query.

Figs. 6A-6J schematically illustrate flow diagrams of the program operation.

Fig. 1 illustrates the operating environment to which the invention pertains. As such, the invention preferably includes and utilizes a PS/2 workstation having a cabinet 1 (with resident processor, memory, and disk storage), keyboard 2, user interactive mouse-type control 3, and graphics quality video display 4. The workstation preferably also includes within cabinet 1 a hard or optical disk non-volatile memory, a local area network board, and a communications board. The non-volatile storage provides resident database capability, while the boards provide communication interfaces to network or host resident databases. The preferred operating system for the workstation is the OS/2 Extended Edition. Such operating system provides the user with resources to create and interact with the OS/2 Extended Edition relational database using SQL statements.

The WHERE and HAVING query clauses, which are frequently used in SQL statements, have proven to be difficult for moderately skilled users to create and understand when formulated in SQL language. To a significant extent the difficulty is attributed to the structure of such SQL clauses, in that the clauses involve strings of text grouped by multiple parentheses.

Boolean factor trees have been used in compilers to parse program expressions into forms amenable for manipulation by digital computers. Fig. 2 illustrates a Boolean factor tree for the expression (A AND B) OR (C AND D). In keeping with the convention of the Boolean factor tree, each logical operator relates only two predicates. Thus, the leaves of the tree, such as elements A, B, C and D, are connected in binary pairs to each logical operator, here the operator OR. This binary character is reflected at each level, in that there are two OR nodes 6 and 7 for AND node 8 as well as two OR nodes 9 and 11 for AND node 12. The same binary character exists between the two AND nodes 8 and 12 and their relating logical operator in node 13. As a consequence of its binary structure, the Boolean factor tree requires leaf node predicates to be repeated in the depiction, such as predicate A both in blocks 14 and 16. Such predicates only appear once in the logical expression. The unfortunate outcome of using such a tree is that the intuitive link between the meaning of expression (A AND B) OR (C AND D) and any meaning acquired from its pictorial representation is effectively nonexistent. The use of Boolean factor trees to represent WHERE and HAVING clauses within an SQL statement are considered within the aforementioned IBM Technical Disclosure Bulletin.

The invention provides a tree structure which maintains the intuitive link between the graphical representation and the desired relationship as specified in the SQL WHERE and HAVING clauses. To further assist the user, the invention also provides a system and method which translates between SQL clauses and the tree structures to allow the user to concurrently perceive through multiple media, i.e., tree graphics and SQL text. the effects of any changes to the WHERE and HAVING clauses. These features are preferably provided in the context of the workstation depicted in Fig. 1, using a window such as 13 on video display screen 4.

Fig. 3 illustrates a tree structure according to the present invention for representing the logical arrangement (A OR B OR C) AND (D AND (E OR F)). In contrast to the Boolean factor tree, note that three leaves, A, B and C, extend from OR node 16. As such, the tree is no longer binary. Note also that each leaf predicate appears only one time within the tree. The benefits of such a tree structure for expressing a WHERE or HAVING clause become even more evident upon comparing the intuitive relationship acquired from considering the pictorial representation to the logical relationship defined by the Boolean expression. The relationships between the predicates A-F are fairly clear even to a user of moderate skill with query formulation. Foremost, there exists a singular translation between the graphical representation and the SQL statement which it represents.

The preferred implementation of the invention is presented by way of simple examples in Figs. 4A-4C, and by extension into refined examples in Figs. 5A-5I. Fig. 4A shows at 14 the primary window of a query as would appear on workstation screen 4 (Fig. 1), and includes therein subwindows individualizing the columns to which the query is to be directed. In this case the columns are "STAFF" and "ORG", respectively at reference numerals 16 and 17. Also present in window 14 is subwindow 18, showing the SQL statement being defined by the query. In subwindow 19 the predicates of the query are defined and related by logical operators "AND" and "OR". The images which appear in Figs. 4A-4C depict the succession of operations and effects which define a relatively simple query. Fig. 4A shows the specification of an argument, defining that the desired information relates to "STAFF" having a "SALARY" greater than 100,000. Fig. 4B illustrates the effects of linking the "SALARY" argument with a predicate specifying "YEARS" less than 10 via the logical AND condition. Fig. 4C depicts the further inclusion of a "JOB" category 57, again relating through the logical AND operator. The tree representation is created by user manipulation of a mouse and keyboard and is visually portrayed in subwindow 21. The corresponding SQL statement appears in block 22.

The pictorial and SQL WHERE clause defined in Fig. 4C can be created, according to the preferred practice of the invention, by manipulation of either the SQL statement in subwindow 22 or the tree structure in subwindow

21. Where the user has the ability to specify the SQL statement directly in subwindow 22, the preferred practice of the invention generates its graphical equivalent by tree representation in subwindow 21. This is accomplished by applying existing parsing techniques to the SQL statement and thereby derive the predicates and relating logical operators.

The features of the invention are most valuable to a moderately skilled user, one more likely to define the query containing WHERE or HAVING clauses in subwindow 21 using the graphical method. Namely, the user creates a tree structure representation of the desired WHERE or HAVING clauses by entering predicates, selecting logical operators, and relating the predicates to the operators. The tree structure so defined is used by the system to generate the equivalent SQL statement in subwindow 22. It is worth noting that the user is not burdened with the particulars of the SQL language, but rather can depend upon the intuitive characteristics represented by the tree depiction of the clause. It should also be apparent that a binary tree of the Boolean form would be incapable of readily representing the three predicates in subwindow 21, which combination is needed to represent the clause.

The succession of Figs. 5A-5I illustrate an extension of the earlier example to more elaborate query and modification practices. As shown in Fig. 5A, the three previously defined predicates are unlinked for further refinement. Fig. 5B shows the linking of two predicates through a logical AND operator, and the further addition of another predicate to reach the stage depicted in Fig. 5C. The second set of two predicates is then linked as appears in Fig. 5D using a logical AND operator. The concluding clause is depicted by tree structure in Fig. 5E, therein showing a logical combination of the two AND operators via a common OR operator. The SQL statement corresponding to the tree representation appears as a classic SQL statement in subwindow 24.

Fig. 5F illustrates the ease with which a graphical interchange of logical operators can be accomplished in the context of the tree structure when the user has doubts about one or more logical links, or desires to see the effects of rearranging the tree. It should be apparent that the query expressed by the tree in subwindow 23 of Fig. 5E is readily understood to differ from the argument as represented by the tree in subwindow 26 of Fig. 5F. In contrast, note the significant mental juggling required to appreciate the differences when comparing the SQL statements as appear in subwindow 24 of Fig. 5E and subwindow 27 of Fig. 5F.

Fig. 5G illustrates that a user can readily add new predicates to an existing WHERE clause tree structure. Fig. 5H illustrates the ease with which the newly defined predicate is linked to a previously specified logical operator, in this case OR, and continues to allow the user to retain intuitive understanding of the complex WHERE clause being defined. This is in distinct contrast to the difficulty a user experiences in trying to understand the SQL based WHERE expression set forth above, given that the user must rely upon deciphering the multiplicity of parentheses to understand the interaction of the logical operators and predicates.

Fig. 5I further illustrates the ease with which the predicates in Fig. 5H can be relocated in the tree structure. Again, note the contrast between attempting a comparison of the tree representations to the equivalent SQL representations of the WHERE clause arguments as appear in the respective subwindows of Figs. 5H and 5l. It is this ability of the tree arrangement to convey an intuitive representation of the relationships between predicates which makes the invention particularly useful to users of moderate skill.

Figs. 6A-6J present, in object oriented format, flow diagrams relating significant operations performed by the present embodiment of the invention. The symbol "A" within a circle represents a state within which the system, program or process is awaiting an input from the user. The selection provided by the user thereafter defines which of the sequences, Figs. 6B-6J, are undertaken in generating or modifying the tree structure or its functional equivalent SQL statement.

Pseudo code, from which source code can be derived, for a program for implementing the present invention is set forth below. The cases represented are consistent with the selections in the flow diagrams in Figs. 6A-6J.

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```
/* Initialization
        Predicate_Count = 0
        Row Cond Window is hidden
5
        Next Predicate No = 1
        Group_Status = off
                            /* Group Selection off */
        GroupList is empty
10
        OP_Change = off
                             /* Operator Node Change Off */
                             /* Linking a node to another */
        Link_Status = off
                             /* node is off
15
        Default = on
                             /* Default is on
        SELECTED = null
                              /* Nothing being selected */
     /*********
         Process Begins
20
25
```

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```
/*************************/
    CASE New Predicate_Defined
        No_of_Predicate = No_of_Predicate + 1
5
        Idx = Next_Predicate_No
        Next_Predicate_No = Next_Predicate_no + 1
    /* Put the text format of the new predicate into TEXT */
         TEXT(Idx) = New_Predicate(text)
10
    /* Find the (x,y) coordinates for displaying this
     /* predicate in Row Condition Window
         X(Idx) = POSITION(X)
15
         Y(Idx) = POSITION(Y)
         if Row_Condition_window is hidden
            display Row_Condition_Window
20
         endif
         display the new predicate in Row_Condition_Window at
            (X(Idx),Y(Idx))
         if Default = on
25
             if No_of_Predicate = 2
                Create an OP node "AND"
                Link all existing predicates with this "AND" OP
30
                  node
                Mark this OP node as Default_Node
                Link this new predicate with the Default_Node
35
             endif
          endif
          Group_Status = off
40
          GroupList = null
           Link_Status = off
           OP Change = off
           SELECTED = null
       CASE Single_Click_on_Predicate
           if Group_Status = off
 50
              Reset any highlighted predicate or OP node to
       normal
                display
 55
```

endif Highlight display the clicked predicate if Group_Status = on 5 Add this predicate's Idx into GroupList endif Mark this predicate as SELECTED 10 Link Status = off OP_Change = off Case Double_Click_on_Predicate 15 From the predicate's (X,Y) coordinates find the Idx of this predicate 20 Highlight display this predicate Get TEXT(Idx) Parse TEST(Idx) to generate the LeftSide, CompOP, . 25 RightSide Display the dialog box showing this predicate's LeftSide in an text entry field, mark the CompOp in a list of 30 all allowed comparison operators, the RightSide in a 35 text entry field with label "Value" or a text entry field with label "Subquery" if the RightSide is a subquery name. This can be judged from CompOp. if Dialog Box is committed 40 Collect new LeftSide, CompOp, RightSide to build TEXT(Idx) Update the display of this predicate 45 Update the corresponding SQL statement Update the SQL_Window display endif 50 Reset display to normal Group_Status = off GroupList = null Link Status = off 55

```
OP Change = off
       SELECTED = null
   CASE Single_Click_on_OpNode /* OP nodes are "AND", "OR" */
        if Link Status = on
           Reset the highlighted node to normal display
10
           Put the information about the two linked nodes into
             the data structure for the tree representation
15
           Display a link line between the two nodes
           Link_Status = off
        else
           Highlight display the clicked OP node
20
           Mark this OP node as SELECTED
           OP_Change = on
           Group Status = off
25
            GroupLIst = null
            Link Status = off
         endif
30
           DeleteAction /* Delete key pressed or Delete menu */
     CASE
                        /* selected */
         if SELECTED not equal to null
35
            Display the deletion confirmation box
               if confirmed
                 delete the selected OP node or predicate
40
                 erase its display
                 if predicate deleted
                    No_of_Predicate = No_of_Predicate - 1
                    Remove information of this predicate
 45
                 endif
                 if OP node
                    Remove information of this OP node
                 endif
                 Update the SQL statement
                 Update the SQL_Window display
 55
                  if SELECTED = Default_node
```

```
Update the SQL statement
               Update the SQL Window display
5
               if SELECTED = Default_node
                  Default = off
               endif
             endif
10
        endif
        Group_Status = off
        GroupList = null
15
        Link Status = off.
        OP Change = off
        SELECTED = null
20
                      /* AND menu selected
         ANDmenu
    CASE
         if OP Change = on
            Change the SELECTED OP node to be "AND"
25
            Change the Row_Condition_Window display
         endif
         if Group Status = on
30
            Link all items in the GroupList together with "AND"
              relationship
            Create a new "AND" OP node
35
            Display the new "AND" node with lines linked with
              nodes in the GroupList
         endif
40
         Update the SQL statement
         Update the SQL_Window display
         OP Change = off
45
         Group Status = off
         GroupList = null
         SELECTED = null
         Link_Status = off
50
     CASE ORmenu
                      /* OR menu selected
         if OP_Change - on
55
            Change the SELECTED OP node to be "OR"
```

```
Change the Row_Condition_Window display
       endif
       if Group_Status = on
          Link all items in the GroupList together with "OR"
5
             relationship
           Create a new "OR" OP node
           Display the new "OR" node with lines linked with
10
             nodes in the GroupList
        endif
        Update the SQL statement
15
        Update the SQL_Window display
        OP_Change = off
        Group_Status = off
20
        GroupList = null
        SELECTED = null
        Link_Status = off
25
                       /* GROUP menu selected
          GROUPmenu
    CASE
         Group_Status = on
         GroupList = null
30
         OP_Change = off
         SELECTED = null
         Reset any highlighted display to normal display
35
                        /* LINK menu selected
           LINKmenu
     CASE
         if Group_Status = off and OP_Change = off and SELECTED
40
               is not null
             Link Status = on
          eĺse
             Group_Status = off
             GroupList = null
             OP_Change = off
             SELECTED = null
             Link_Status = off
          endif
```

The invention thus provides a user of a relational database with an intuitive and user friendly tool for operating and manipulating queries containing WHERE or HAVING clauses using graphical representations. The intuitive feedback provided by the tree structure makes it easy to understand the substance of the database query being formulated. The concurrent presence of the tree structure and SQL statement allows the user to

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manipulate either in creating the desired expression. Such concurrency also provides a training tool for users of SQL statements, in that the meanings underlying SQL statements are immediately available in graphical form as feedback. The contemplated tree structure is not binary in character, and as such is not restricted to two predicates per logical operator. Similarly, the tree structure leaves are related to the SQL predicates on a 1:1 basis. The combination thus provides the intuitive link needed by a contemporary user of relational databases.

Though the invention has been described and illustrated by way of specific embodiments, the apparatus and methods should be understood to encompass the full scope of the features defined by the claims set forth hereinafter.

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Claims

1. A system for accessing information in a relational database, comprising:

means for a user of a computer to define a query in a form suitable for accessing the relational database; and

means for graphically depicting, by tree structure supporting three or more predicates per logical operator, an argument of the defined query.

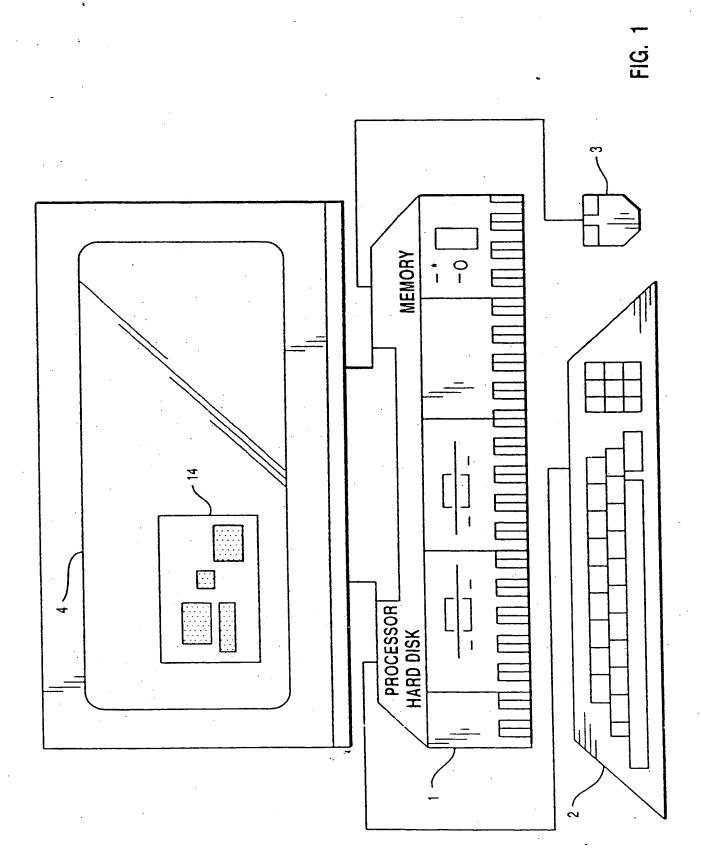
- The system recited in Claim 1, wherein the query is an SQL statement containing a WHERE or HAVING clause.
- 3. The system recited in Claim 1 or 2, wherein the number of tree structure leaves matches the number of predicates in the query.
- 25 4. The system recited in any preceding Claim, wherein the logical operators are AND and OR functions.
 - 5. The system recited in any preceding Claim, wherein the means for a user to define a query includes both a keyed alphanumeric entry device and a user actuated graphic control device.
- 30 6. A method for accessing information in a relational database of a computer system, comprising the steps of:

graphically defining, as a first part of a query, one or more logical operators; graphically defining, as a second part of the query, three or more predicates; and graphically defining, as a third part of the query, links relating three or more predicates to a common logical operator.

7. The method recited in Claim 6, wherein the links represent the argument of a WHERE or HAVING clause in the query.

- 40 8. The method recited in Claim 6 or 7, wherein the logical operators are AND and OR functions.
 - 9. The method recited in Claim 6, 7 or 8, including the further step of directly relating the graphically depicted query parts to an SQL statement in the computer system.
- 10. A system for generating data defining a query in a form suitable for accessing a database, comprising an operator input means, a memory means for storing data determined by input from said input means, means for displaying an image corresponding to the data stored in said memory means, said system being arranged to enable an operator to graphically define on said displaying means a logical query in the form of an image of the query having one or more logical operators, three or more predicates and links relating three or more predicates to a common operator, the system further comprising a processor means for processing data in said memory means to generate further data representing said imaged query in a form suitable for accessing a database.

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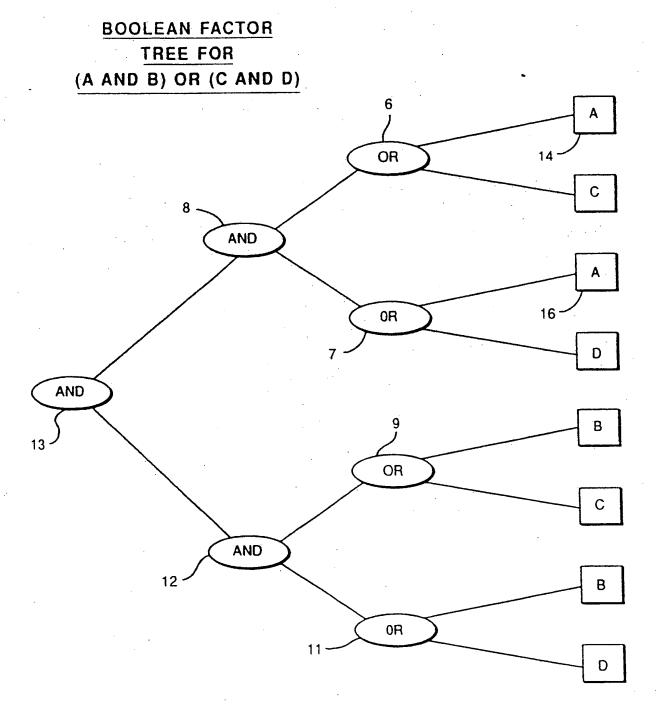
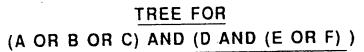


FIG. 2
PRIOR ART



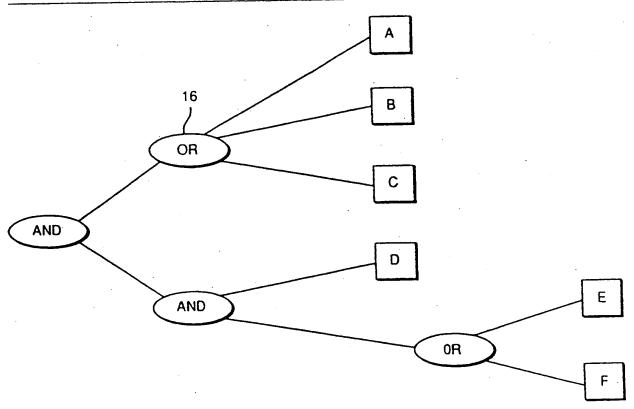
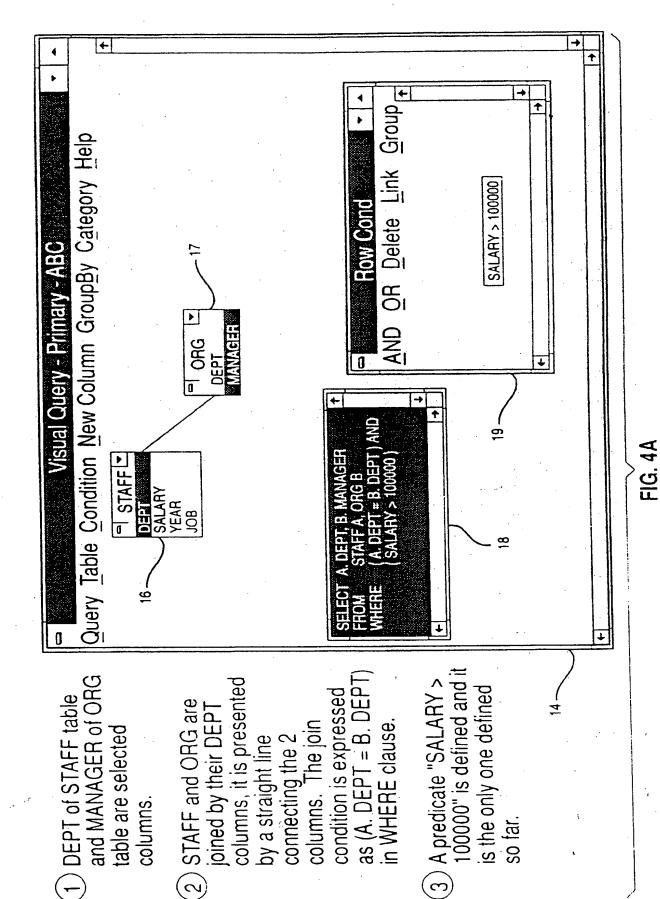


FIG. 3



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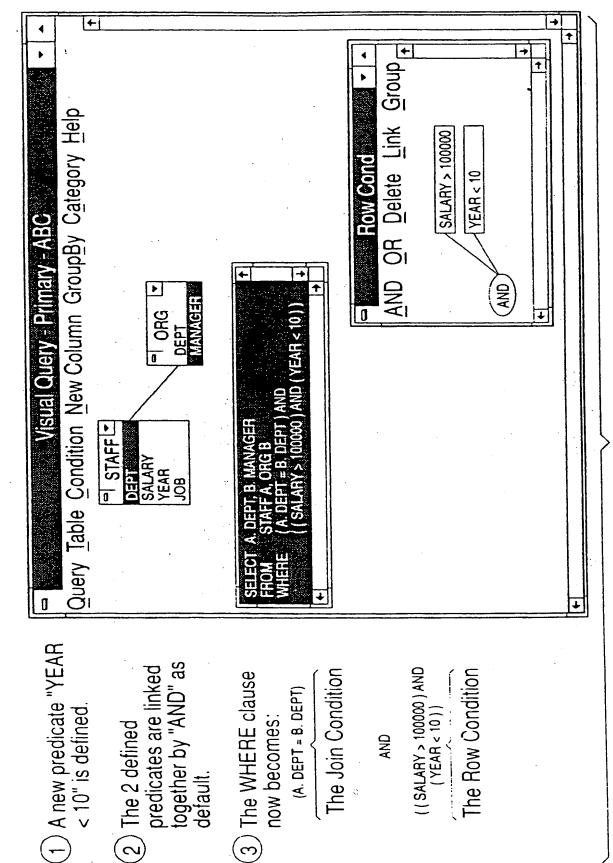


FIG. 4B

The Join Condition

(A. DEPT = B. DEPT)

((SALARY > 100000) AND (YEAR < 10))

AND

The Row Condition

together by "AND" as default.

(3) The WHERE clause

now becomes:

2) The 2 defined predicates are linked

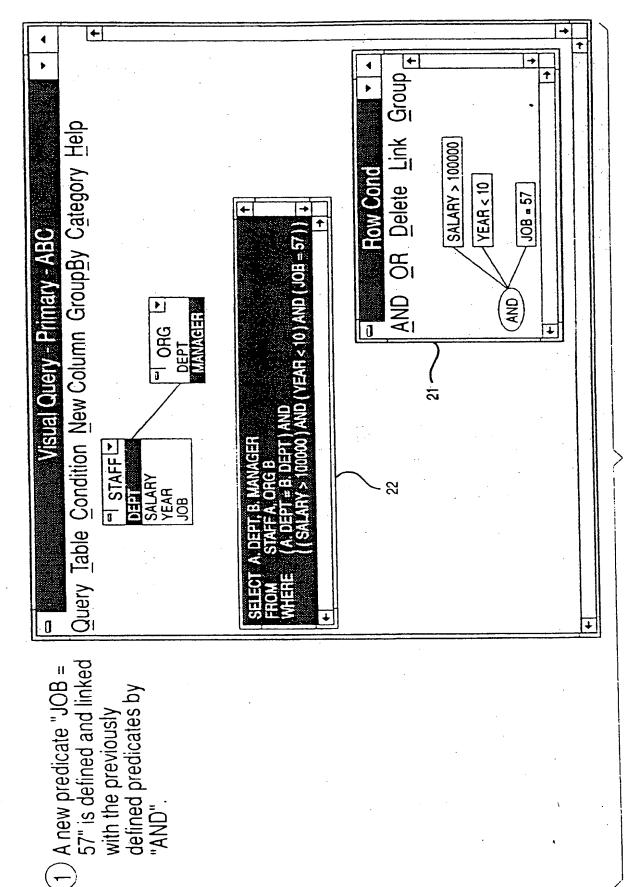


FIG. 4C

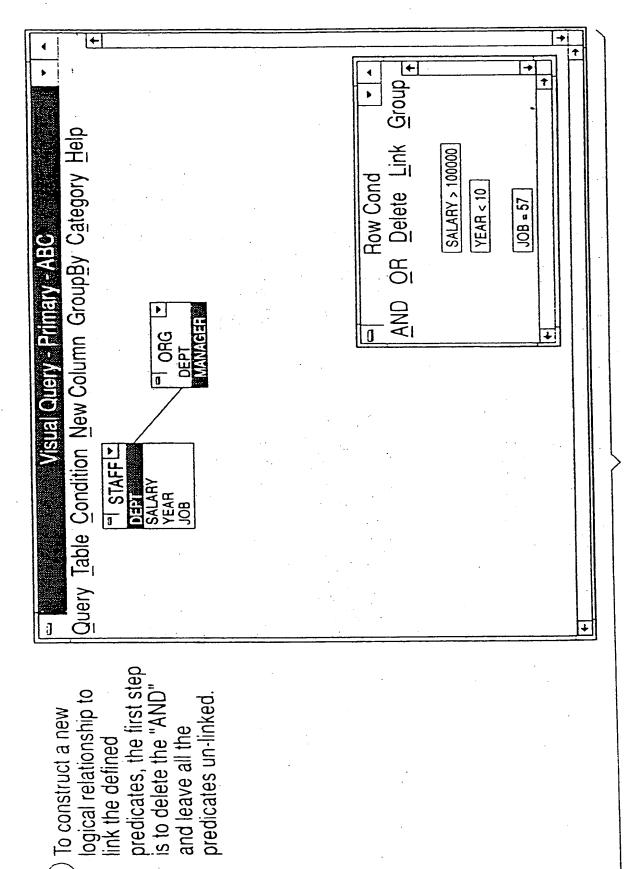


FIG. 5A

predicates un-linked

To construct a new

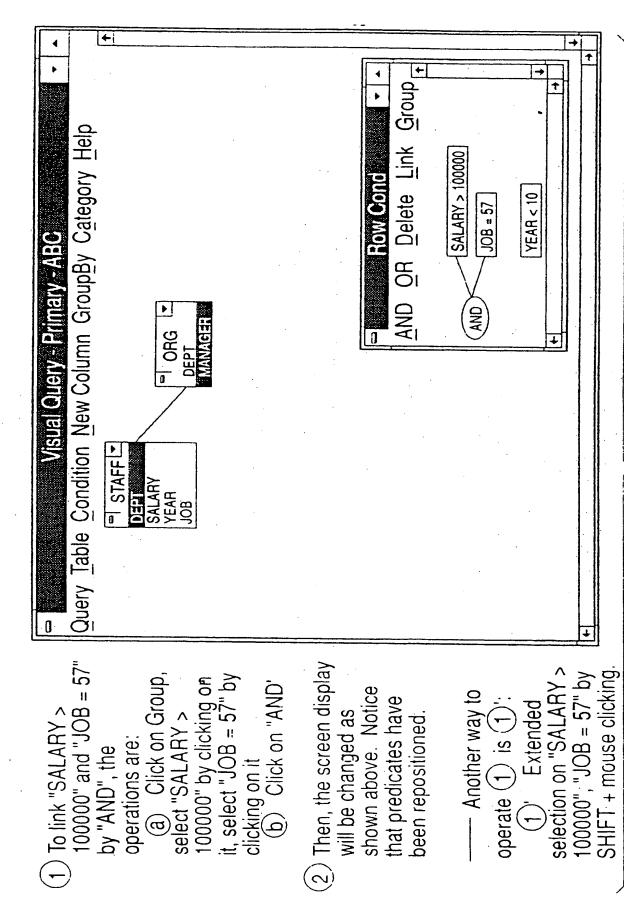


FIG. 5B

will be changed as

operate (1) is (1):

Extended

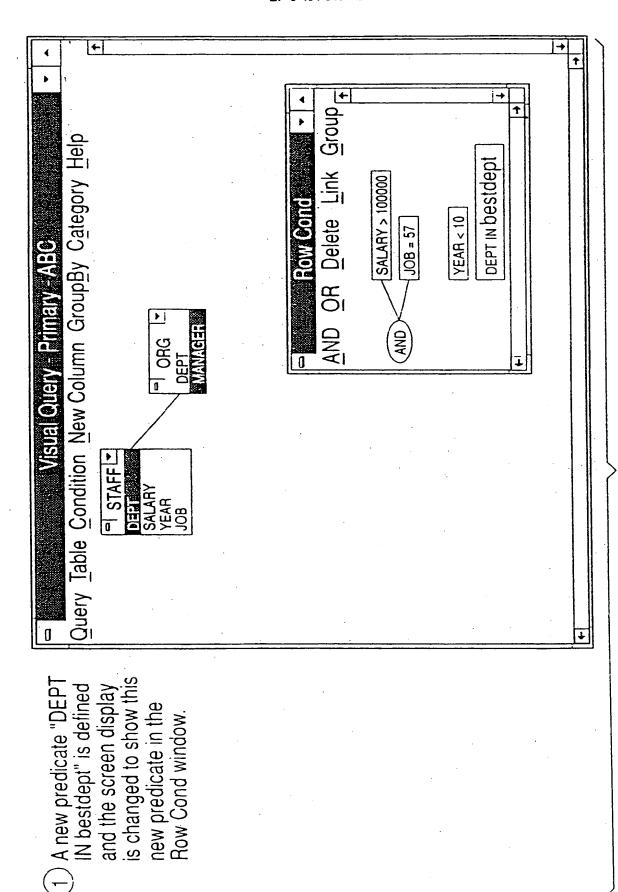


FIG. 5C

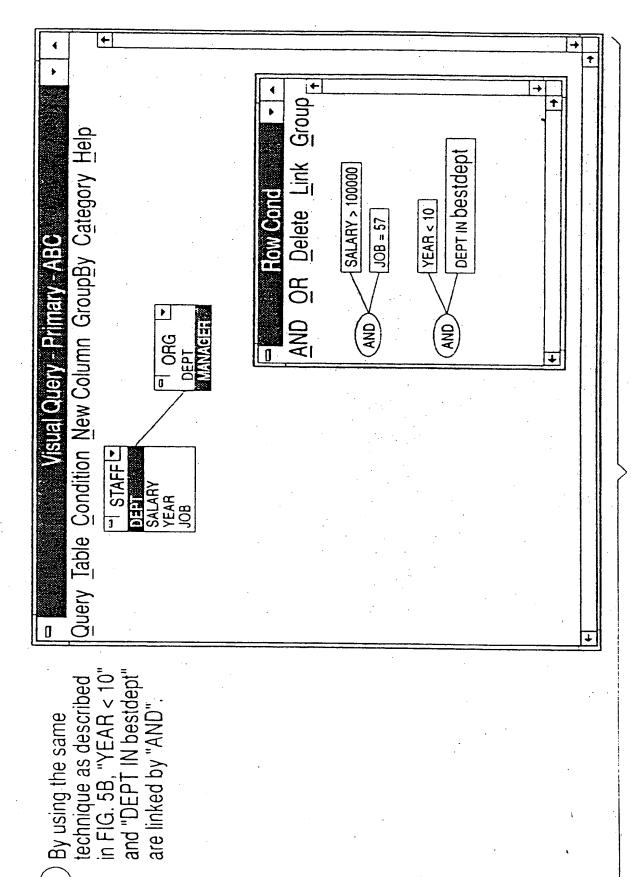
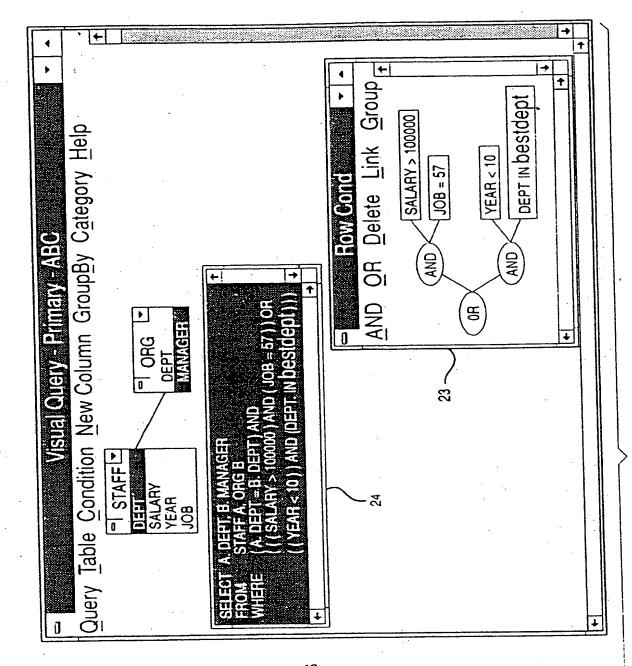


FIG. 5D

are linked by "AND".



technique as described in FIG. 5B, link the 2 now shows a complete tree structure for The Row Cond window "AND" nodes by "0R". By using the same WHERE clause.

SQL select statement is shown in the SQL The WHERE clause in

FIG. 5E

window.

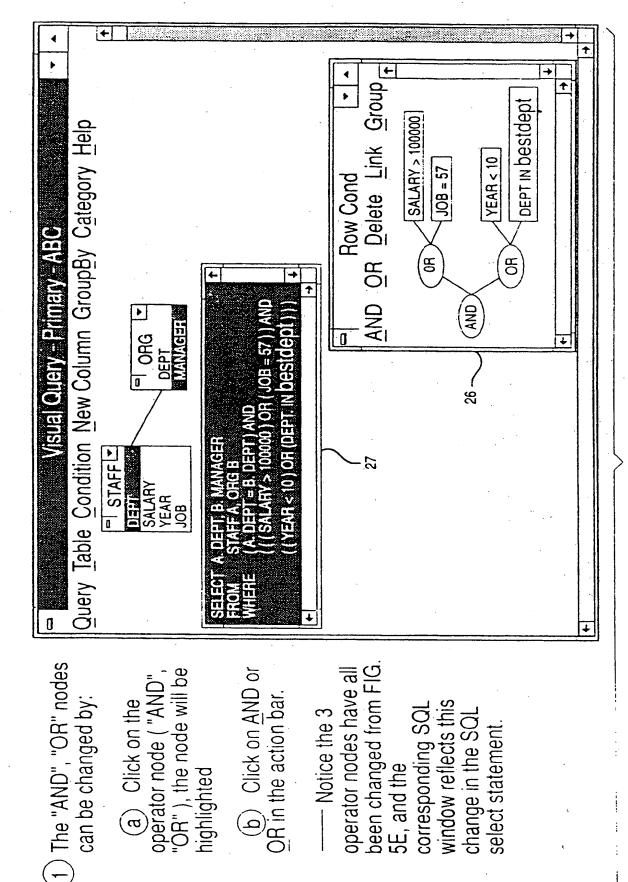


FIG. 5F

Notice the 3

(a) Click on the

corresponding SQL window reflects this

change in the SQL select statement.

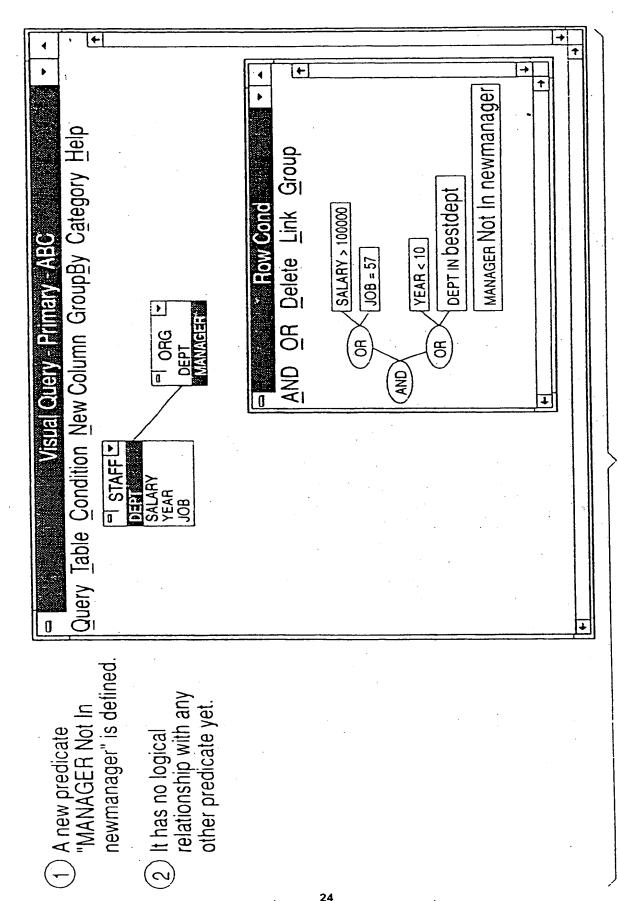
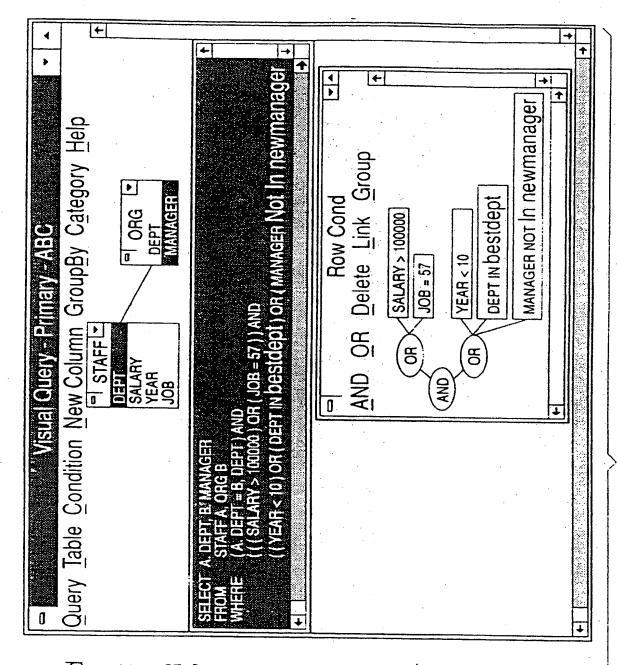


FIG. 5G

(1) A new predicate "MANAGER Not In

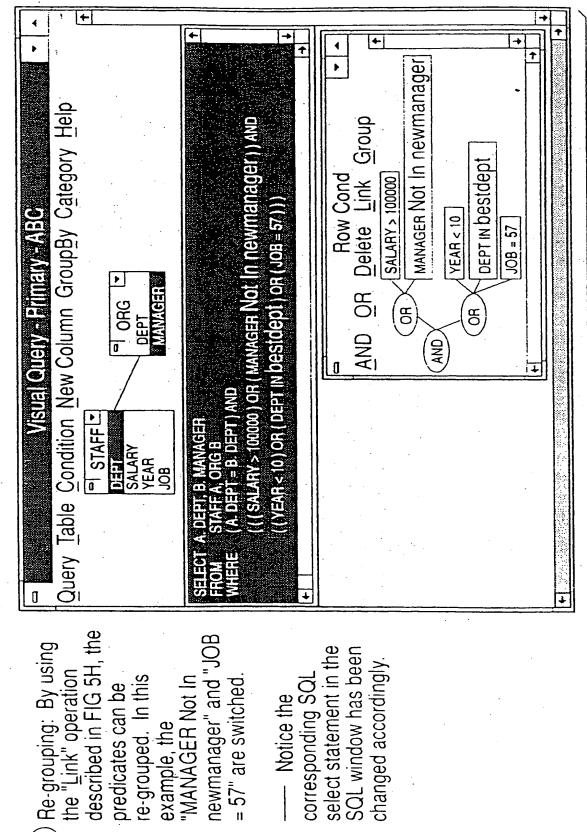


predicate is "OR" linked with "YEAR < 10" and "DEPT IN bestdept" by: 1) This newly defined

 a Select "MANAGEH
 Not In newmanager" by clicking on it.
 b Click on Link in the Select "MANAGER

node. (In this example, it is the lower "OR" action bar. © Click on the target node.) —— Alternative for (a) + (b) is: drag "MANAGER Not In newmanager" and drop on the lower "OR" node

FIG. 5H



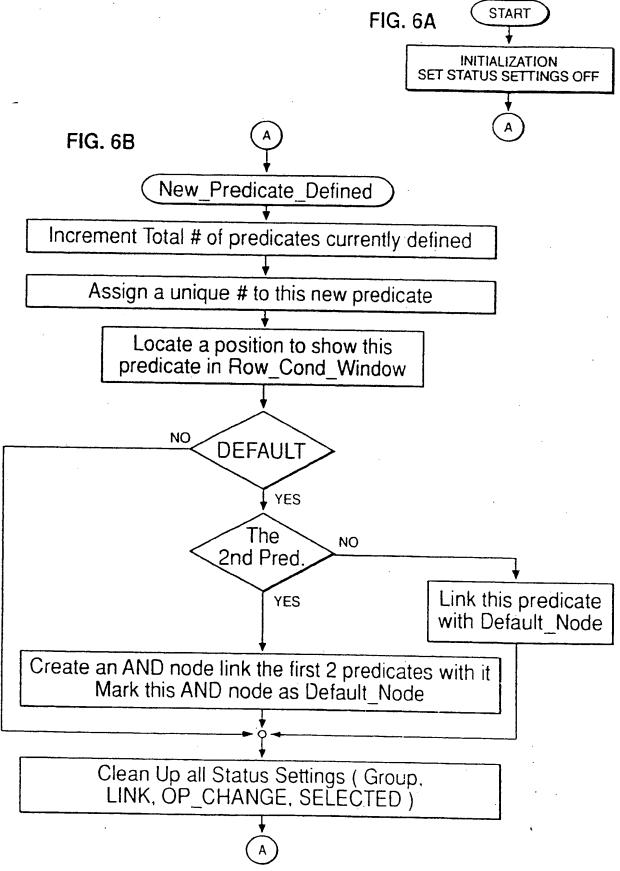
 Notice the corresponding SQL select statement in the SQL window has been changed accordingly.

re-grouped. In this example, the "MANAGER Not In

predicates can be

= 57" are switched.

FIG. 51



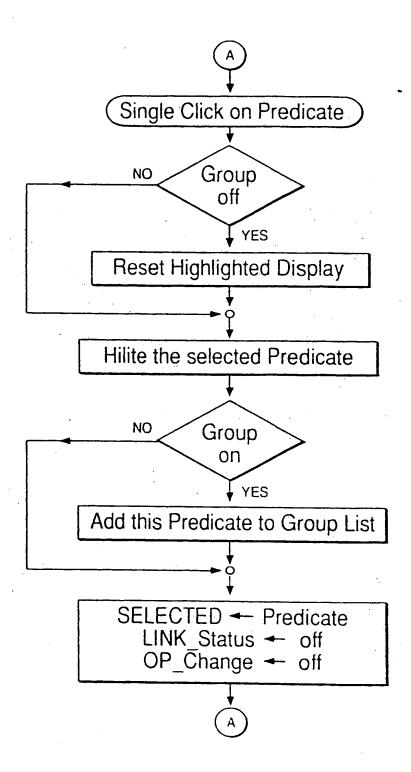
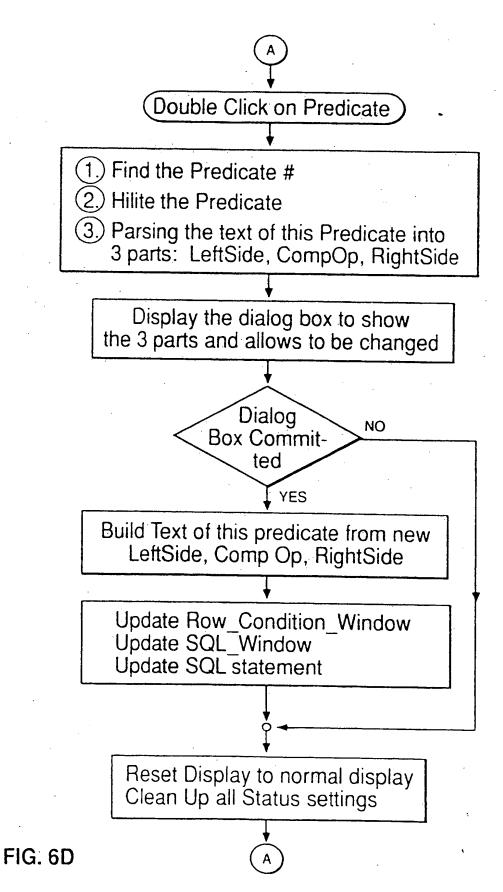


FIG. 6C



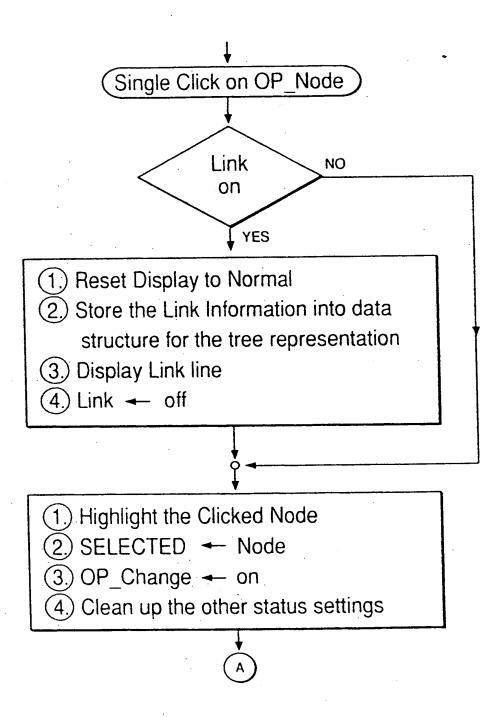
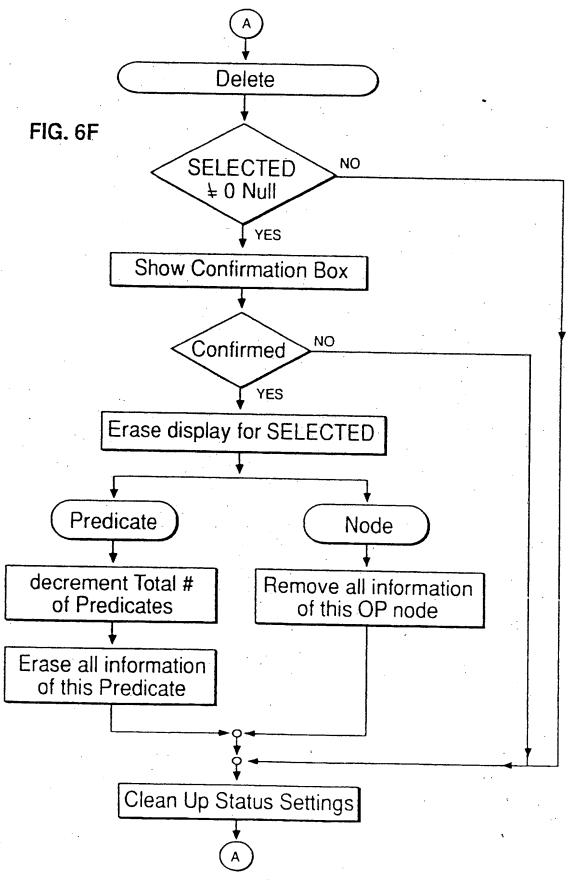
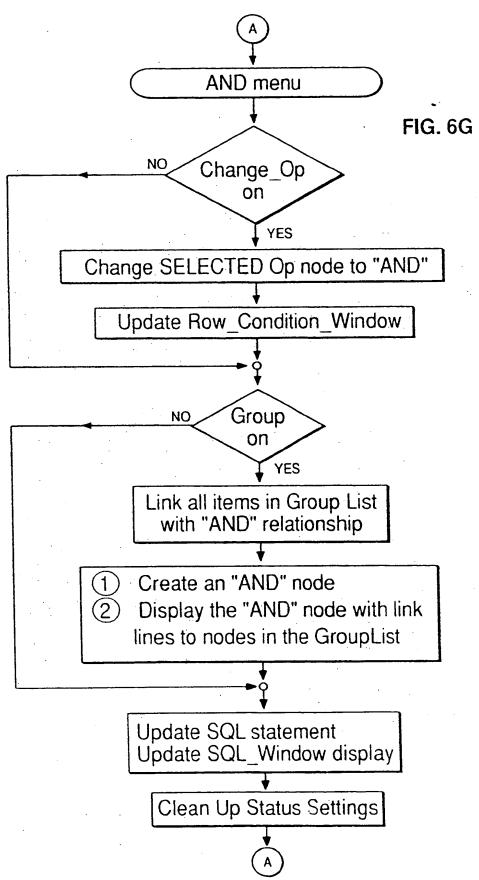
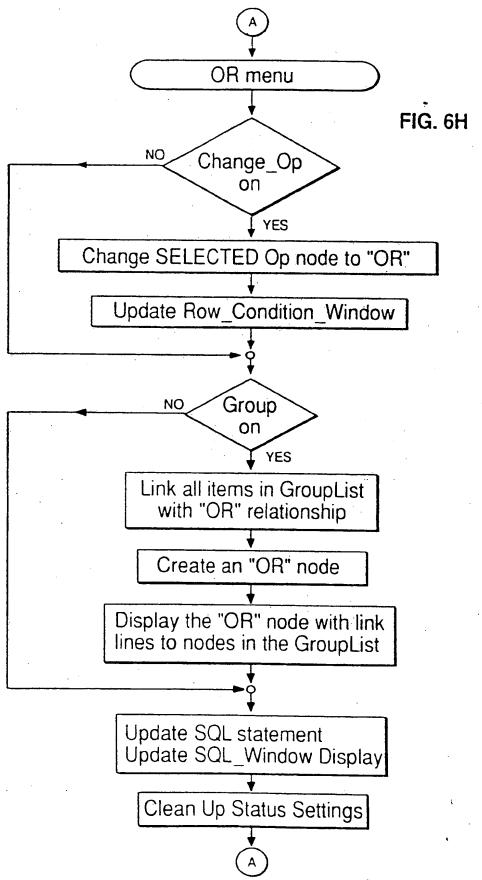


FIG. 6E









EUROPEAN SEARCH REPORT

Application Number

EP 91 31 1451

ategory	Citation of document with indication, where appro of relevant passages	priate,	Relevant to claim	CLASSIFICATION OF THE APPLICATION (lot. Cl.5)
	1988 IEEE WORKSHOP ON VISUAL LAN October 1988, PITTSBURGH, US pages 14 - 20 CZEJDO B. ET AL: 'Design and Implementation of an interactive query interface for a relational management system'	graphical database	1,6,10	G06F15/40 G06F15/403
	* page 17, column 1, line 1 - pa column 2, line 1; figures 1-3 *	ge 19,		İ
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	Where to Go ?' * page 64, column 3, line 10 - l figure 2 *	ine 43;		
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				GOOF
			1	
	The present search report has been drawn up for all	claims		.
		spletion of the search		Excentaer
	THE HAGUE 21 APRI	L 1993	:	FOURNIER C.D.J.
X: particularly relevant if taken alone after the Y: particularly relevant if combined with another D: document document of the same category L: document A: technological background		T: theory or principle underlying the invention E: earlier patent document, but published on, or after the filing date D: document cited in the application L: document cited for other reasons		
			the same patent family, corresponding	